

UTILITY  
PATENT APPLICATION  
TRANSMITTAL

Attorney Docket No.: S-100,631

05/01/03

First Inventor or Application Identifier: Thomas McCleskey

Title: POLYMER-ASSISTED DEPOSITION OF FILMS

Express Mail Label No.: ET461825991US

APPLICATION ELEMENTS

ADDRESS TO: Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

1. ☒ \* Fee Transmittal Form (e.g. PTO/SB/17)  
(submit an original and a duplicate for fee processing)

2. ☒ Applicant claims small entity status.  
See 37 CFR 1.27.

3. ☒ Specification [Total Pages: 27]  
☒ Descriptive title of the Invention  
☐ Cross References to Related Applications  
☒ Statement Regarding Fed sponsored R&D  
☐ Reference to sequence listing, a table  
or a computer program listing appendix  
☒ Background of the Invention  
☒ Brief Description of the Drawings (if filed)  
☒ Detailed Description  
☒ Claim(s)  
☒ Abstract of the Disclosure

4. ☒ Drawings(s) (35 U.S.C. 113) [Total Sheets: 14]  
☐ Formal ☒ Informal

5. ☒ Declaration & Power of Attorney [Total Pages: 2]  
a. ☒ Newly executed (original or copy)  
b. ☐ Copy from a prior application (37 C.F.R. § 63(d)  
(for continuation/divisional with Box 16 completed)  
c. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting  
inventor(s) named in the prior application,  
see 37 C.F.R. §§ 1.63(d)(2) and 1.33 (b).

6. ☐ CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)

7. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)  
a. ☐ Computer Readable Copy  
b. Specification Sequence Listing on:  
i. ☐ CD-ROM or CD-R (2 copies); or  
ii. ☐ paper  
c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. ☒ Assignment Papers (cover sheet & documentation)

9. ☐ 37 C.F.R. § 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney

10. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations

11. ☐ Preliminary Amendment

12. ☒ Return Receipt Postcard (MPEP 503)  
(should be specifically itemized)

13. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)

14. ☐ Nonpublication Request and Certification Under 35 U.S.C.  
122(b)(2)(b)(i)

15. ☐ Other:

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:  
☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application S.N. \_\_\_\_/\_\_\_\_.  
Prior application information: Examiner: Group/Art Unit:

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

16. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label



OR ☐ Correspondence Address Below

35068

PATENT TRADEMARK OFFICE  
(Insert Customer No. or Attach Bar Code Label here)

Name: Bruce H. Cottrell  
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Signature: Bruce H Cottrell

Registration No. (Attorney/Agent): 30,620

Date: July 8, 2003

19249 U.S. PTO  
10/6/03



07/08/03

# FEE TRANSMITTAL

## For FY 2003

*Patent fees are subject to annual revision  
(submit an original and a duplicate for fee processing)*

### Complete if Known

Application Number: \_\_\_\_\_  
 Filing Date: \_\_\_\_\_  
 First Named Inventor: Thomas M. McCleskey  
 Examiner Name: \_\_\_\_\_  
 Group/Art Unit: \_\_\_\_\_  
 Attorney Docket No.: S-100,631

### METHOD OF PAYMENT

1. ☒ The commissioner is hereby authorized to charge indicated fees and credit any over payments to:  
 Deposit Account Number: 12-2150  
 Deposit Account Name: Los Alamos National Laboratory  
☒ Charge Any Additional Fee Required Under 37 C.F.R. 1.16 and 1.17  
☒ Applicant claims small entity status. See 37 CFR 1.27

### FEE CALCULATION

#### 1. BASIC FILING FEE

Large Entity Fee	Small Entity Fee	Fee Description	Fee Paid
\$750	\$375	Utility filing fee	375.00
\$750	\$375	Reissue filing fee	
\$160	\$80	Provisional filing fee	

**SUBTOTAL (1) \$375.00**

#### 2. EXTRA CLAIM FEES

Total Claims	32	-20** =	12	X	9	=	108
Independent Claims	2	-3** =	0	X	0	=	0
Multiple Dependent						=	

\*\* or number previously paid, if greater; For Reissues, see below

Large Entity Fee	Small Entity Fee	Fee Description
\$18	\$9	Claims in excess of 20
\$84	\$42	Independent claims in excess of 3
\$280	\$140	Multiple dependent claim, if not paid.
\$84	\$42	** Reissue independent claims over original patent
\$18	\$9	** Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2) \$108**

### FEE CALCULATION (continued)

#### 3. ADDITIONAL FEES

Large Entity Fee	Small Entity Fee	Fee Description	Fee Paid
\$130	\$65	Surcharge - late filing fee or oath	
\$50	\$25	Surcharge - late provisional filing fee or cover sheet	
\$2,520	\$2,520	For filing a request for reexamination	
\$110	\$55	Extension for reply within first month	
\$410	\$205	Extension for reply within second month	
\$930	\$465	Extension for reply within third month	
\$1,450	\$725	Extension for reply within fourth month	
\$1,970	\$985	Extension for reply within fifth month	
\$320	\$160	Notice of Appeal	
\$320	\$160	Filing a brief in support of an appeal	
\$280	\$140	Request for oral hearing	
\$110	\$55	Petition to revive - unavoidable	
\$110	\$55	Terminal Disclaimer	
\$1,300	\$650	Petition to revive - unintentional	
\$130	\$130	Petitions to the Commissioner	
\$50	\$50	Petitions related to provisional applications	
\$180	\$180	Submission of Information Disclosure Statement	
\$750	\$375	Filing a submission after final rejection (37 CFR 1.129 (a))	
\$750	\$375	For each additional invention to be examined (37 CFR 1.129(b))	
\$100	\$100	Certificate of Correction	
\$300	\$300	Publication fee for early, voluntary, or normal publication	
\$750	\$375	Request for Continued Examination (RCE)	

Other fee (specify) \_\_\_\_\_

**SUBTOTAL (3) \$0**

Reduced by Basic Filing Fee Paid

**SUBTOTAL FROM 1 \$375**  
**SUBTOTAL FROM 2 \$108**  
**SUBTOTAL FROM 3 \$0**

**TOTAL AMOUNT OF PAYMENT \$483**

### SUBMITTED BY

### Complete (if applicable)

Printed Name: Bruce H. Cottrell

Reg. No. 30,620

Signature: *Bruce H. Cottrell*

Date: 07/08/03

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